

Enterprise Privacy & Security Officer
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March 2011

Item 6a – Attachment A

Board Assignment Status

Assignment Date	Request / Subject	Due Date	Status / Completed Date
	Nothing to report.		

Other Items of Interest

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1. Governance	The annual Agency Designation Letter (SIMM 70A) and Agency Risk Management and Privacy Program Compliance Certification (SIMM 70C) was completed and sent to the California Technology Agency Office of Information Security as part of CalPERS Security Compliance Reporting.
2. Planning	<p>ITSB and the EPSO are jointly sponsoring a project to develop an information security roadmap for CalPERS. This will be used to develop a 3 year information security plan for the organization.</p> <p>During the last quarter the following milestones were met:</p> <ul style="list-style-type: none">• Gaps between the current state of security and the defined control framework were identified.• A summary of the gap analysis is being prepared for submission to the Steering Committee for approval. <p>During the next quarter the gap analysis will be used to prepare a list of security objectives prioritized by risk.</p>

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3. Policy	<ul style="list-style-type: none"> • Revisions to the following policies are being considered: <ul style="list-style-type: none"> ➢ Event Logs ➢ Data Classification ➢ Data Classification ➢ Data Owners and Custodians • New policies are pending for approval: <ul style="list-style-type: none"> ➢ Elevated Access to Workstations ➢ Electronic Records discovery • Developing new procedures for vetting new and changed information security practices and policy.
4. Risk Management	<ul style="list-style-type: none"> • A risk assessment of Employer Services Division is complete and a report is being prepared. • A risk assessment of the agency's e-discovery and electronic information management capability is underway. • ACTO is the next business unit on the agenda for cyclical risk assessment.
5. Compliance	<ul style="list-style-type: none"> • Guardium – As last reported, to avoid impacting the PSR scope and possibly creating a performance impact, Guardium will be tested in a non-production environment as time and resources permit. During the last quarter the Guardium appliance was moved into the non-production PSR environment, has been configured, and is monitoring the business partner readiness database. We will be utilizing the appliance to monitor database activity throughout the business partner readiness testing and continue working with ITSB and the PSR blended team to approve Guardium for production implementation. • Symantec – The project to implement the Control Compliance Suite (CCS) which provides a centralized means of managing and monitoring the compliance of individual network components with established configurations in a test environment is completed. • Symantec – The project to implement the Enterprise Vault (EVault) which enables CalPERS ability to respond in a timely manner to legal e-discovery actions in a test environment is completed. • Immediate Action Required – During this quarter this office and ITSB reviewed and took appropriate action on 29 Cyber Security Advisories issued by the California Office of Information Security.
6. Incidents	<p>See Attachment 1 – Internal See Attachment 2 - External</p>